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The future of wearable EEG: A review of ear-EEG technology and its applications

To cite this article before publication: Netiwit Kaongoen *et al* 2023 *J. Neural Eng.* in press <https://doi.org/10.1088/1741-2552/acfcda>

Manuscript version: Accepted Manuscript

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The Future of Wearable EEG: A Review of Ear-EEG Technology and its Applications

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Abstract. *Objective* This review paper provides a comprehensive overview of ear-EEG technology, which involves recording electroencephalogram (EEG) signals from electrodes placed in or around the ear, and its applications in the field of neural engineering.

Approach We conducted a thorough literature search using multiple databases to identify relevant studies related to ear-EEG technology and its various applications. We selected 123 publications and synthesized the information to highlight the main findings and trends in this field.

Main Results Our review highlights the potential of ear-EEG technology as the future of wearable EEG technology. We discuss the advantages and limitations of ear-EEG compared to traditional scalp-based EEG and methods to overcome those limitations. Through our review, we found that ear-EEG is a promising method that produces comparable results to conventional scalp-based methods. We review the development of ear-EEG sensing devices, including the design, types of sensors, and materials. We also review the current state of research on ear-EEG in different application areas such as brain-computer interfaces, and clinical monitoring.

Significance This review paper is the first to focus solely on reviewing ear-EEG research articles. As such, it serves as a valuable resource for researchers, clinicians, and engineers working in the field of neural engineering. Our review sheds light on the exciting future prospects of ear-EEG, and its potential to advance neural engineering research and become the future of wearable EEG technology.

Keywords: ear-EEG, in-ear EEG, around-ear EEG, brain-computer interface, wearable system

† Both authors contributed equally to this work

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1. Introduction

Electroencephalography (EEG) is a widely used, non-invasive method for measuring and recording the electrical activity of the brain. Traditional EEG involves the placement of electrodes on the scalp, which are secured in place using a combination of electrolyte gel and a tight-fitting elastic cap to ensure proper contact between the electrodes and the skin [1]. While this approach provides high-quality EEG signals, it may not be suitable for long-term monitoring or use outside of a laboratory or hospital setting. To overcome this challenge, researchers have proposed various approaches. For instance, one approach involves the utilization of different electrode types, such as dry electrodes, which do not require electrolyte gels. Another approach involves redesigning the EEG system to enhance wearability and accessibility, making it suitable for a broader range of applications, especially for long-term monitoring in daily-life usage [2]. These modifications aim to make EEG portable and wearable, allowing for greater flexibility and convenience in its use.

Ear-EEG is a novel EEG acquisition technique that aims to improve the practicality of EEG measurement. This technique involves the placement of electrodes in or around the ear to capture EEG signals [3], [4]. The use of ears as recording sites offers several advantages over traditional scalp-based EEG. Firstly, the absence of hair in these areas facilitates improved skin-to-electrode contact, resulting in enhanced signal quality and increased user comfort. Secondly, the discreet placement of the electrodes around the ear makes them less noticeable to others, which can help alleviate the social awkwardness that is often associated with conventional EEG systems. Additionally, the ears can serve as an anchoring point for the device to attach to the user's body and are also easily reachable by the user's hands. This provides an added advantage for ease of wearability and self-management. It also opens up the possibility for touch-based interactions with the device. This increased practicality and comfort make ear-EEG a promising tool for long-term monitoring and for use in daily-life applications. A significant drawback of ear-EEG in comparison to the conventional scalp-based method lies in its limited coverage area. Studies such as [5] and [6] have demonstrated that ear-EEG primarily captures neural signals originating from the temporal lobe. Consequently, this limitation may lead to a reduced range of detectable EEG signals or lower signal quality compared to the more comprehensive coverage provided by scalp-based EEG. The primary focus of early ear-EEG research was to assess the range of EEG signals that could be detected using this technique and its potential applications, as well as to compare its performance with conventional EEG methods.

Ear-EEG technology can be differentiated into two categories based on its electrode placement and device design: in-ear EEG[3] and around-ear EEG [4]. In-ear EEG involves electrodes positioned inside the ear canal or on the ear, while around-ear EEG, also known as the "behind-ear" method, places electrodes around the ear area, usually beneath the hairline. Both methods have their own advantages and trade-offs. While in-ear EEG offers greater discretion, with the sensing device hidden inside the ear, it

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may also block external sound depending on the device design. On the other hand, around-ear EEG may be less discreet than in-ear EEG, but it covers a wider area, making it more practical for detecting a broader range of brain signals. Studies have demonstrated that ear-EEG can detect meaningful neural activity and has a multitude of applications, including but not limited to monitoring mental state and neurological conditions, and brain-computer interfaces (BCI). It is important to emphasize that in an ear-EEG system, all electrode placements, including reference and ground, should remain within the ear region. However, this review also covers some wearable EEG systems that incorporate electrodes placed in areas such as the scalp or forehead, in addition to those on the ear region. For the sake of simplicity, we will refer to these systems as hybrid EEG systems throughout the remainder of this review. While a comprehensive discussion of hybrid EEG systems falls beyond the scope of this review, they will be briefly discussed alongside certain ear-EEG systems with similar device designs (refer to Section 3). This approach aims to help readers understand that wearable EEG devices can extend their recording sites beyond a specific area. We believe this inclusion will contribute to advancing the field of wearable EEG systems.

Previous research reviews have explored the topic of ear-EEG. Ne et al. conducted a review of 92 articles focusing on the acquisition of bio-signals using in-ear sensing devices, with only 48 studies specifically involving EEG [7]. Roddiger et al. reviewed 271 publications relating to ear-worn sensing devices and their applications in physiological monitoring, movement and activity tracking, interaction, and authentication. However, this review still only covered 54 articles on ear-EEG [8]. Our work, on the other hand, specifically focuses on both in-ear and around-ear ear-EEG technology, highlighting its exceptional wearability and potential for real-life applications compared to traditional EEG acquisition methods. Our contribution provides a comprehensive understanding of the history and current state of the art in ear-EEG technology and its potential as the future of wearable brain monitoring systems.

We conducted a thorough literature review of 123 research articles to enhance our understanding of ear-EEG and examine its efficacy as an EEG acquisition method and its potential applications. The primary focus of our literature review was to answer three main research questions:

- 1) What are the crucial factors influencing the development and setup of ear-EEG systems, and how do these choices impact the range of applications, strengths, and limitations of ear-EEG technology?

- 2) What are the diverse applications and potential uses of ear-EEG as an EEG acquisition method, and how does it contribute to various fields?

- 3) What are the limitations and drawbacks associated with the ear-EEG method, and what potential strategies or approaches can be employed to address and mitigate these challenges?

This paper is organized into three main sections, each addressing one of the above key questions. The first section covers EEG sensing methods, including the device design (e.g., shape and material), sensors used (e.g., electrode types and placement),

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and signal processing modules (e.g., the EEG amplifier and necessary electronic parts). Many of the studies we reviewed used manual electrode placement on the ear area and traditional stationary EEG sensing devices, and conducted experiments only in a laboratory setting to validate ear-EEG performance. However, many studies also propose innovative and functional wearable ear-EEG systems for both in-ear and around-ear approaches. In the second section of our review, we delve into the capabilities of ear-EEG methods. We explore the undertaken research, assessing the range of EEG signals attainable through ear-EEG, and evaluating the performance of the ear-EEG method within various experimental setups for diverse applications. In the final section, we discuss the challenges associated with EEG acquisition using ear-EEG methods, mainly the limited coverage area by the ear-EEG sensing device. We examine signal processing techniques aimed at enhancing the EEG signal's SNR and machine learning methods to improve ear-EEG performance, enabling it to match the performance of conventional scalp-based EEG methods.

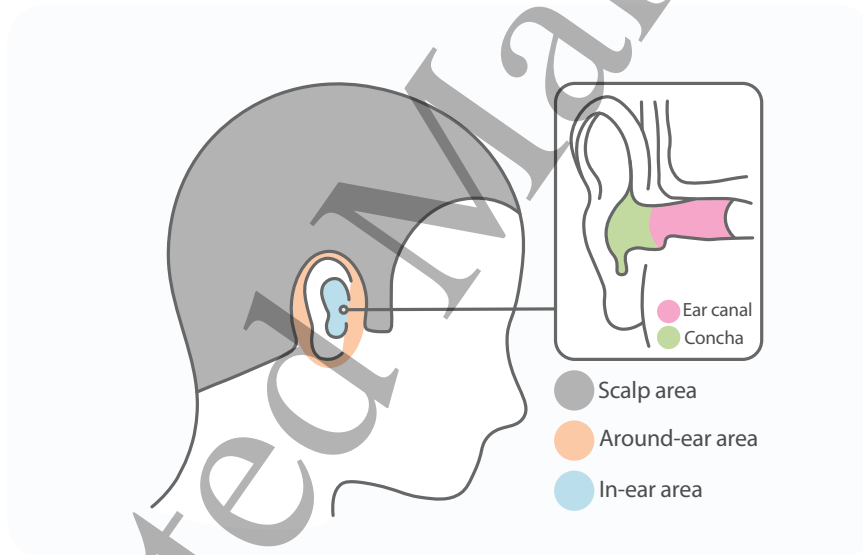


Figure 1: Skin coverage comparison of different EEG types: scalp EEG (grey), around-ear EEG (orange), and in-ear EEG (blue). Detailed depiction of in-ear structure highlighting common in-ear electrode placement sites: ear canal (pink) and concha (green).

2. Review methodology

This review aims to provide a comprehensive overview of ear-EEG technology, including the current state-of-the-art devices and methods used for acquiring ear-EEG, the different techniques used for processing and analyzing ear-EEG signals, and the diverse range of applications in which ear-EEG has been examined. We conducted a comprehensive search of electronic databases, including Google Scholar, IEEE Xplore, and PubMed, using a combination of the following keywords as our search terms:

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3 *The Future of Wearable EEG: A Review of Ear-EEG Technology and its Applications*⁵
4 "ear-EEG", "in-ear EEG", "around-ear EEG", "behind-the-ear EEG", and "ear-based
5 EEG". Additional studies were identified through manual searches of reference lists
6 from relevant articles that met our inclusion criteria.
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9 In this review, we included studies that used ear-EEG technology to record brain
10 activity in humans in the ear area. It is important to mention the variability in
11 nomenclature pertaining to the different types of ear-EEG. For instance, some literature
12 employs the terms "in-ear EEG" and "in-the-ear EEG" interchangeably, while others
13 utilize terms like "around-ear EEG," "around-the-ear EEG," "behind-ear EEG," and
14 "behind-the-ear EEG" interchangeably as well. To ensure clarity, this review article
15 uniformly adopts the term "in-ear EEG" to denote EEG acquired from internal ear
16 structures, encompassing the ear canal and concha. Similarly, the term "around-ear
17 EEG" is employed to refer to EEG acquired from the circular perimeter encompassing
18 the ears. Figure 1 illustrates the skin areas covered by each type of EEG examined
19 in this review article, including scalp EEG (grey), around-ear EEG (orange), and in-
20 ear EEG (blue). The figure also depicts the in-ear structure, with the ear canal and
21 concha highlighted as the common sites for in-ear EEG recording. While ear-EEG
22 technologies are typically designed for wearable purposes, we also considered studies
23 that recorded EEG signals around the ear area using conventional, stationary EEG
24 acquisition setups. Studies that used ear-worn devices to acquire other biological signals
25 such as electrooculogram (EOG), electromyogram (EMG), electrocardiogram (ECG),
26 and photoplethysmography (PPG) were excluded unless the device also recorded EEG
27 signals in addition to one of the other mentioned signals. We extracted relevant data
28 from each included study, including the study design, sample size, ear-EEG device used,
29 electrode placement, data processing and analysis methods, and its applications.
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37 A total of 123 relevant articles were identified in our comprehensive search for
38 research on ear-EEG technology. Figure 2 displays the number of ear-EEG articles
39 published each year, from 2011 to March 2023 (the time of writing this review
40 article). Despite a steady increase in the number of studies conducted each year, the
41 overall amount of research on ear-EEG is still relatively small, which could limit the
42 generalizability of our findings. Moreover, the majority of the studies we reviewed had
43 small sample sizes, which could impact the reliability and validity of the results. ear-
44 EEG technology is still in its early stages, and the range of its applications is not yet
45 fully understood. While this review article summarizes the development history of ear-
46 EEG technology and highlights its potential as the future of wearable EEG technology,
47 further research is necessary to evaluate its potential in real-world settings fully.
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51

52 53 **3. Development of Ear-EEG Sensing Methods**

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55 In this section, we trace the development of ear-EEG sensing devices and examine the
56 latest advancements in design. For each study, we examine the sensor components,
57 including the material and type of electrodes, as well as the placement and number of
58 electrodes. We evaluate the design of the device in terms of practicality and appearance
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Figure 2: The number of publications relevant to ear-EEG over time from 2011 to 2023 (*March).

and assess its wearability and potential for real-world use. Some studies present a comprehensive system, comprising sensors, a battery, and a printed circuit board (PCB) for signal processing, computing, and data transmission. After reviewing 123 research articles, it was found that 79 of them utilized in-ear EEG acquisition techniques, while 47 of them utilized around-ear EEG acquisition techniques. Three of the articles utilized both in-ear and around-ear EEG methods. Eleven of the around-ear EEG research studies did not employ any wearable sensors specifically designed for around-ear EEG acquisition. Instead, they either manually attached the electrodes to the ear area or obtained EEG data from conventional scalp-EEG caps with channels positioned nearest to the ears. Figure 3 presents a schematic map that provides an overview of the ear-EEG articles, classified according to the design of the ear-EEG sensing device. The figure includes the number of articles (N) in each category. However, it should be noted that the size of each box is not proportional to the number of articles.

3.1. In-ear methods

The concept of recording EEG from within the ear was first introduced by Looney and their team in 2011 before the term "ear-EEG" had even been published. The first in-ear EEG sensing device, referred to as the "in-the-ear" (ITE) recording platform, was created by embedding three AgCl electrodes on a custom-made earpiece manufactured

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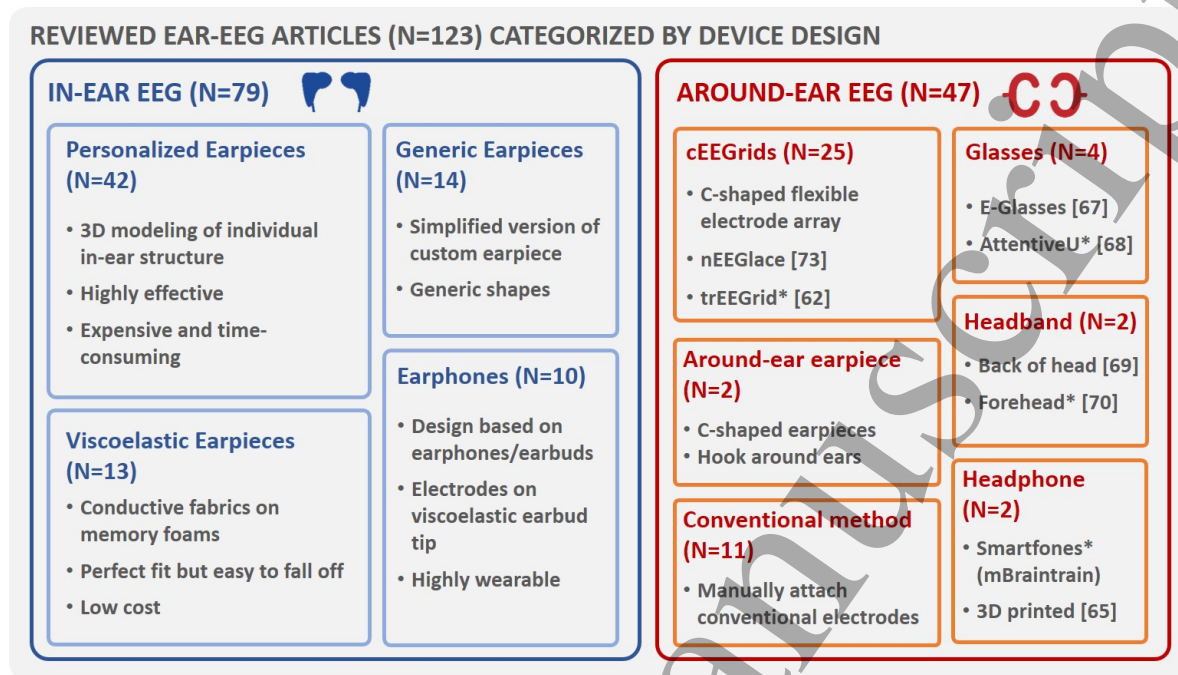


Figure 3: Overview map of the reviewed articles on ear-EEG, categorized according to the design of the ear-EEG sensing device. *Hybrid EEG systems containing electrodes beyond the ear area

in the same process as a hearing-aid device [9], [10]. In these initial works, the ITE electrodes were used as an extension of the conventional EEG setup, with the recorded EEG being referenced and grounded at the ear-lobe and chin, respectively. Following the successful confirmation of alpha activity in EEG recordings obtained from ITE electrodes, with correlations and coherence shown to be excellent when compared to on-scalp electrodes, the researchers continued to improve their ear-EEG sensing device. They added an additional electrode to each side of the earpiece, making the system truly an in-the-ear measurement setup with all electrodes, including reference and ground electrodes, situated within the ear [3], [11]. For each in-ear EEG sensing earpiece, the reference electrode was positioned on the inferior side of the ear canal, and the ground electrode was placed at the top of the concha. This fully integrated in-ear sensing device was successfully evaluated for its ability to record various types of EEG signals commonly used in BCI technology. This has led to increased interest in ear-EEG from the EEG and BCI research community ever since.

3.1.1. In-ear EEG sensing device design

Personalized earpiece

Following the original ear-EEG research, the majority of in-ear EEG devices were designed as electrodes embedded in personalized earpieces [12]–[22], with only minor ad-

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justments from the original works such as changing the material for the earpieces and electrodes[23]–[26] or making the electrodes an active type[27]. The process of creating these personalized earpieces involved taking a wax impression of the individual's ear, 3D scanning the impressed ear shape, creating a CAD model of the earpiece, and using additive manufacturing technology. To simplify the earpiece fabrication process, some researchers eliminated the 3D modeling step and instead used moldable materials that were personalized to the research subject's ear shape as the base for their in-ear EEG sensing device. They also changed the electrode material to make it easier to fabricate and more suitable for alternative earpiece materials. For example, in [28] and [29], moldable plastic beads (InstaMorph) were chosen as the material for making the personalized earpieces.

Generic earpiece

Although the personalized earpiece design approach offered a perfect fit for each individual's ear shape and resulted in high-quality EEG signals, the device fabrication process was expensive, complicated, and time-consuming. To address this issue, researchers began developing in-ear EEG device designs that were generic and could accommodate the biological structure of any ear shape. In 2013, the team that pioneered in-ear EEG technology presented one of the first generic designs of an in-ear EEG device [30]. Their design featured a conical-shaped earplug made of biocompatible silicone rubber. In recent years, some innovative and effective designs for generic in-ear EEG sensing devices have been proposed[31]–[34]. For instance, Kaveh et al. [32] used a 3D scan of the in-ear structure to create a unique, generic design that can fit all users. Their earpieces feature four outward cantilevers, each of which acts as an individual electrode and applies pressure to the ear canal, along with two larger electrodes positioned on the concha for reference and ground. For their final design [33], the earpiece body was 3D printed with flexible resin for greater comfort. In 2022, Paul et al. [34] introduced the weDAQ system, a complete wireless electrophysiology data acquisition system that can simultaneously acquire multiple biological data streams, including in-ear EEG. Their weDAQ system features a unique generic design for in-ear EEG sensing, composed of two planar "crocodile" PCBs that fit together and form a 3D in-ear apparatus with multiple electrodes on its surface.

Viscoelastic earpiece

A large number of studies use commercially available memory foam or other viscoelastic materials as the base for their in-ear EEG device designs. Like the generic silicone earplug presented in [30], these designs are often conical in shape, resembling an earplug, with or without a hole in the middle for the auditory pathway. Viscoelastic materials such as memory foam are often used as a substitute for silicone or plastic because the earpieces made from these materials can still have some of the drawbacks of hard mate-

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3 *The Future of Wearable EEG: A Review of Ear-EEG Technology and its Applications*
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5 rials, which do not guarantee a perfect fit to the shape of the ear canal. This imperfect
6 fit can lead to motion artifacts and may require an electrically conductive gel to over-
7 come the issue. Additionally, it has been reported that conductive silicone may not be
8 a suitable material for long-term use in EEG electrodes due to its degradation over sev-
9 eral months, which can significantly increase the impedance level [35]. The idea of using
10 viscoelastic material for in-ear EEG earpieces was first proposed by Goverdovsky et al.
11 in 2015 [35]. The first viscoelastic in-ear EEG earpiece consisted of a memory foam
12 base material with electrodes attached to its surface. The viscoelasticity of the material
13 allowed the earpiece to be easily and comfortably worn and perfectly fit into the user's
14 ear canal. This property also ensured that energy from abrupt motion was absorbed,
15 leading to lower motion artifacts. The viscoelastic approach to in-ear EEG has been
16 utilized in numerous studies, demonstrating its capabilities in acquiring in-ear EEG for
17 various applications, however, the device design has undergone only minor adjustments
18 since its origination [36]–[46]. Some studies directly use commercially available flexi-
19 ble electrode as in-ear EEG sensing device. For example, Ahn et al. [47] used a Gold
20 Tip Trode electrode (8500370, Sanibel Supply) for their in-ear EEG sensing apparatus.
21 This disposable electrode is made of foam wrapped in gold foil and is typically used for
22 recording electrocochleography. Likewise, Guermendi et al. [48] presented an in-ear-
23 EEG system that uses a conductive elastomer spike electrode covered with Ag/AgCl
24 paint as an in-ear electrode.
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31 While viscoelastic material is a great option for the base of the in-ear EEG elec-
32 trode, providing comfort and a perfect fit to the ear canal, simply designing the whole
33 earpiece in an earplug shape presents some drawbacks when compared to other designs,
34 such as personalized earpieces or generic earphones. Firstly, the viscoelastic earplug
35 lacks an exterior hanger to fixate the earpiece to the concha, which can cause it to
36 easily slip out of the user's ears, especially when the earplug electrodes are wired to
37 the external processing module. Secondly, viscoelastic earplugs completely occlude the
38 ear canal, blocking sound transmission, which could potentially disrupt the user's daily
39 activities. Additionally, the small covering area of the earplug limits the number of
40 electrodes that can be included, particularly when the reference and ground channels
41 are necessary to create a truly in-the-ear measurement setup.
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47 **Earpieces in the shape of earphones or earbuds**

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50 An alternative strategy for creating generic in-ear EEG sensing devices involves tak-
51 ing inspiration from conventional in-ear earphones or earbuds. Among the reviewed
52 articles, two distinct approaches emerged. The first approach involves directly affixing
53 conductive materials and wiring to the earbud tips, utilizing them as electrodes [49]–
54 [52]. The second approach revolves around creating the earbud tips themselves from a
55 flexible conductive material, utilizing the entire earbud tip as an electrode. [53], [54].
56 In-ear EEG sensing devices designed as earphones or earbuds offer a versatile and stylish
57 EEG system suitable for long-term use in daily life. By incorporating flexible or vis-
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coelastic materials at the tip of the device, it can be easily fitted into the ear canal, providing a comfortable and secure fit. Unlike the viscoelastic earplug design discussed earlier, the earphone design includes an exterior structure that can fixate the earpiece, thus overcoming the challenges associated with the earplug design. Moreover, the earphone design provides a broader coverage of the ear, enabling the positioning of the reference and ground electrodes at the concha area, thereby creating a comprehensive in-ear measurement setup.

3.1.2. In-ear EEG electrodes

Electrode types

As evident from the reviewed articles, dry passive electrodes are the prevailing choice for making in-ear EEG sensing devices. This preference can be attributed to the challenges associated with applying conductive gel or paste within the confines of the narrow ear canal. Ensuring precise application of the conductive paste solely onto the intended electrode, while preventing inadvertent bridging between electrodes, appears to be a formidable task. Moreover, this application process might be uncomfortable for users, as the ear canal poses difficulties for cleaning residual conductive substances after using the in-ear EEG device. Nonetheless, it's crucial to acknowledge that certain studies do incorporate a small amount of saline solution or conductive gel to ensure optimal contact between the skin and the electrode and maintain a low impedance level. However, it's important to note that as of now, no subject survey or reported feedback has addressed the comfort levels associated with the wet in-ear electrode approach in any study.

In personalized earpieces, pure silver or Ag/AgCl is commonly favored material for making electrodes, while studies like [33] have investigated electrodes created via electroless plating using metals like palladium, copper, and gold. In studies employing simplified fabrication methods, like those by [28] and [29], electrodes were created by manually applying conductive silver paste (ELCOAT, CANS) directly onto the surface of the molded earpieces.

Conductive fabric is a practical material choice for in-ear EEG electrodes, particularly in viscoelastic earpieces [35], [51], [52]. Its remarkable flexibility and ability to adapt to the earpiece's shape, even as the earpiece compresses during insertion into the ear canal, make it a suitable option.

As outlined in the preceding section, certain studies have crafted electrodes utilizing conductive flexible polymers as their material of choice. For example, Lee et al. [53] fabricated earbud-shaped electrodes using a composite of carbon nanotubes and polydimethylsiloxane (CNT/PDMS). The CNT material provided outstanding electrical, mechanical, and thermal properties, while the biocompatible PDMS allowed for high gas and water permeability and adaptability to various fabrication methods. Similarly, Dong et al. [54] utilized a conductive rubber material called silvered glass silicone (SGS), which consists of silver, glass silver, and silver conductive grains mixed

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with silicone rubber, for their earbud-shaped electrodes. This electrode type offers elevated comfort due to its softness and flexibility, and boasts remarkable versatility as it can be molded into diverse shapes and designs. Nonetheless, its manufacturing process is intricate, demanding specialized tools and expertise. Moreover, certain commercially available options may be pre-configured into shapes that may not align with a researcher's or developer's intended design, as seen with the conductive elastomer spike electrode utilized in [48].

Furthermore, within the scope of the reviewed in-ear EEG studies, merely two investigations introduce in-ear EEG devices featuring active electrodes [27], [47]. This could be attributed to the inherent challenge posed by the compact size of in-ear EEG devices when compared to other EEG sensing methods. The smaller form factor complicates the inclusion of electronic circuits required to render the electrodes active.

Electrode number and location

In addition to the design and material of the device, the number of EEG channels is an important factor to consider when designing a wearable EEG acquisition system. While a small number of EEG channels can reduce the cost and time required for fabricating the sensing device, as well as potentially lowering the computational cost during signal processing and analysis, a high number of EEG channels provides a better spatial resolution of the EEG and can possibly yield better results.

The majority of in-ear EEG studies examined in this review employ a limited number of channels, often comprising fewer than eight within each earpiece. This tendency might arise from the compact dimensions of the in-ear structure. Consequently, the electrodes must also be small to fit snugly within the ear, thereby rendering the fabrication process more intricate. The complexity of this situation becomes particularly noticeable when dealing with handcrafted electrodes.

Some studies have designed in-ear EEG sensing devices with a high number of in-ear-EEG channels. The first high-density ear-EEG device was presented by Kappel et al. in 2017 [55]. Each personalized earpiece had 15 uniformly embedded electrodes on each side, made from circular titanium pins coated with IrO₂. The high-density ear-EEG recording enables researchers to compare neural activity with scalp-based EEG methods, which helps to investigate how different cortical sources are mapped to the ear. Additionally, this method provides a way to identify optimal electrode placements for the target application. Another variation of a high-density in-ear EEG device was presented in the works of A Paul et al. in 2019 [56], [57]. This device featured 17 small Ag/AgCl electrodes fitted into each personalized earpiece, instead of the IrO₂ electrodes used in earlier works.

While there isn't a universally accepted international standard for the nomenclature and arrangement of in-ear EEG electrodes, the methodology proposed by the original in-ear EEG research group [3] stands out for its ingenuity and meticulous electrode positioning. This particular approach designates each electrode as EXY, wherein X

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signifies either L or R, denoting the left or right side of the ear respectively, and Y indicates the electrode's position from the letters A to L (e.g., ERA, ERB, ... ERL). Among these designations, A, B, and C correspond to the upper, middle, and lower regions of the concha, while D represents the earlobe. The remaining eight electrodes are positioned within the ear canal, evenly spaced at distinct angles. Starting with E at the posterior point of the ear canal, the order proceeds clockwise toward the posterior direction. We strongly advocate for the adoption of this methodology in future in-ear EEG studies, as it promotes consistency and coherence within the research field.

Reference and ground electrodes are typically placed at the concha (EXA, EXB, EXC), a location comparatively more distant from the brain than the ear canal. Nonetheless, in numerous studies, additional electrodes are employed with separate cables attached to the earlobe, around-ear area, or mastoid, functioning as reference and ground points. This practice results in their system not aligning with a true in-ear EEG setup. In the pursuit of optimal wearability, we advocate for the development of a truly in-ear measurement device with integrated reference and ground electrodes, devoid of externally visible electrodes, thus maximizing discreetness. We recommend that future developers of in-ear EEG systems take this into account during equipment design or devise innovative solutions to maintain both discretion and wearability, even if external out-of-ear electrodes are integrated.

3.2. Around-ear methods

In 2012, Wang et al. [58] investigated the feasibility of measuring steady-state visual evoked potentials (SSVEPs) from non-scalp regions, including the forehead/face, behind-the-ear, and neck areas, introducing the novel concept of measuring and utilizing EEG from non-scalp regions, specifically the area around the ear. This concept has important implications for the development of new EEG-based technologies that could lead to more accessible and wearable EEG measurements. In 2015, the advent of around-ear EEG technology gained significant recognition within the research community, primarily due to the introduction of cEEGrid [4]. This innovative device marked a turning point in around-ear EEG research, prompting numerous studies exploring its efficiency, applications [59], and inspiring the development of other around-ear EEG sensing devices. In contrast to the extensive development of in-ear EEG sensing devices, the progress in around-ear EEG sensing technology, however, has been limited. The majority of research in this area has relied on either cEEGrids or alternatively, manually attached conventional EEG electrodes to the around-ear area. This section explores the sensor and hardware design presented in the around-ear EEG studies.

3.2.1. Around-ear EEG sensor design

Adhesive c-shaped electrode array

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The most widely used around-ear EEG sensor in research is cEEGrid [4]. The cEEGrid is a flexible printed c-shaped electrode array specifically designed to be placed around the user's ears. Its flexprint material consists of several layers of biocompatible polyamide, where the electrodes are laid on the upmost surface. This type of around-ear EEG sensor cannot be attached to the user's skin directly and requires the use of double-sided adhesive tape or other adhesive material to attach them to the skin around the user's ears.

Although the signal processing hardware has to be considered to judge the wearability of the whole system, this type of around-ear EEG sensor is very comfortable to the users, and its adhesive property to the user's skin also ensures the fixation in electrode placements even in the long-term use. Its drawbacks are that the adhesive parts of the sensor have to be replaced regularly, and the user's skin has to be cleaned and prepared every time before use to ensure the attachment between the sensors and skin. From the researcher and developer's point of view, the process of fabricating the electrode array is complicated and cannot be easily self-made without the specific tools and experts, but the cEEGrids are commercially available (e.g., <https://exgtools.expeeriments.io/>).

Some studies have utilized designs similar to cEEGrids for fabricating around-ear EEG sensors. For instance, Guermandi et al. [60] created an around-ear EEG system that incorporates their own C-shaped electrode arrays made from flexible polyimide PCB resembling cEEGrids but their reference and ground electrodes are in a rectangle shape and located parallel to each other. Souto et al. [61] made direct modifications to the cEEGrid array. Based on their work conducting a sleep analysis using ear-EEG acquired from the cEEGrid [62], they developed a novel electrode array called trEEGrid, which is specifically designed for sleep analysis. This new sensor array is similar to cEEGrid, with the main difference being its expanded coverage area. It not only covers the around-ear area but also includes the regions around the eyes and chin to facilitate EOG and EMG measurements which are crucial for comprehensive sleep analysis.

Around-ear earpiece

In both [63] and [64], designs for around-ear EEG sensors were presented in the form of earpieces. Although they use different materials for their earpieces and electrodes, both designs feature a V-shaped folded structure with a hook that supports the device against the back of the pinna. Unlike the first type of around-ear sensor that uses adhesive tape to attach the sensor to the around-ear skin area, the electrodes are pressed against the skin using the spring effect created by the earpiece's design. Additionally, the electrically conductive paste can be applied to ensure skin-to-electrode contact. The device is then connected to a processing module using wires.

Headphone

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In addition to C-shaped electrode arrays and earpieces, previous research has introduced several intriguing wearable EEG systems. Among these designs are headphones that utilize embedded electrodes within the ear cushions to record around-ear EEG signals. While headphone-based EEG systems may be less discreet and bulkier compared to other designs, they offer the advantage of using the headband as an additional electrode site in conjunction with the around-ear area, enabling a hybrid EEG approach. Moreover, the headphone cups can serve as hosts for processing modules, rendering them complete wearable around-ear EEG systems in their own right. For instance, Kaongoen et al. [65] presented an affordable custom-made around-ear EEG headphone produced using 3D printing technology. In this design, all electrodes are integrated into the ear cushions, while the processing module and battery are situated within the headphone cups. In addition, Smartfones (mBrainTrain, <https://mbraintrain.com/smartfones>) represents an integrated hybrid EEG system capable of recording both scalp-EEG and around-ear EEG signals. This system features electrodes located on its headband and ear cushion, respectively. Notably, it also facilitates simultaneous auditory input and EEG recording, expanding its range of potential applications [66].

Glasses

Glasses represent another wearable design option for an around-ear EEG device. By utilizing the contact points on the skin around the user's ears, the temples of the glasses can serve as the location for around-ear EEG sensing electrodes. Similar to the design of headphones, the coverage area of glasses extends to the user's eyes and nose, enabling the creation of a hybrid EEG system that incorporates around-ear EEG functionality. In 2018, Sopic et al. [67] introduced the e-Glass, a wearable EEG system in the form of glasses. While e-Glass's electrodes are placed on F7, F8, T3, and T4 based on the 10-20 international system which are not exactly the around-ear area, they are in close proximity to the around-ear EEG positions and therefore are relevant to this review article. In 2019, Kosmyna et al. introduced another glasses-shaped wearable EEG device called AttentivU [68]. AttentivU is a hybrid EEG system that integrates EEG and EOG sensing through electrode placements extending beyond the ear area. The EEG electrodes are positioned at the temples of the glasses around the ears, while the EOG electrodes are placed at the nose pads. Ground and reference electrodes are situated at the nose bridge. To provide auditory and vibrotactile feedback, piezoelectric elements were integrated into the tips of the glasses temples. Both the frames of e-Glass and AttentivU are constructed from nylon plastic, which encloses the processing modules and battery.

Headband

Some around-ear EEG sensing devices feature a headband design, with the rear sec-

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tions forming a c-shaped structure encircling the ears. For instance, Kaongoen et al. [69] introduced a headband-based around-ear EEG device design in which the c-shaped sections around the ears are connected by a headband that wraps around the back of the user's head. Similar to headphones and eyeglasses, the headband design also presents opportunities to expand electrode placement beyond the around-ear area. For instance, Ahn et al. [70] proposed a headband-shaped hybrid EEG system that incorporates an electrode in the middle part of the headband, positioned on the user's forehead, in addition to electrodes located behind the ear area.

3.2.2. Around-ear EEG electrode

Electrode types

The majority of reviewed around-ear EEG studies in this article have employed passive wet electrodes for measuring around-ear EEG signals. The prevailing choice of sensing device in around-ear EEG research, such as cEEGrid, constructs its electrodes using circular gold-plated tips, pure copper traces, and a conductive Ag/AgCl-based polymer thick film ink. Electrolyte gel is applied to acquire around-ear EEG signals. The earpiece introduced in the work by Pham et al. [64] also utilizes gold-based electrodes with conductive paste. The earpiece in Valentin et al.'s study [63] employs conductive silicone along with conductive paste to leverage its flexible characteristics. Smartphones, on the other hand, uses saline-soaked sponge electrodes for data acquisition. This choice might be attributed to the electrodes' coverage in the scalp area, where the application of conductive paste or gel could be less desirable.

Certain devices, such as trEEGrid, have incorporated pre-gelled electrodes to enhance user convenience during self-application. In the case of around-ear EEG headphones mentioned in [65], as well as headbands described in [70] and [69], single-use foam-type solid-gel snap electrodes have been adopted. These electrodes feature embedded snap sockets within the device, offering a streamlined equipment preparation process. However, it's important to note that frequently changing electrodes for every use could potentially become cost-prohibitive for practical real-life applications. Of the around-ear EEG studies examined in this article, only two examples use dry electrodes: the c-shaped electrode array detailed in [60], which employs gold electrodes, and the AttentivU system, which utilizes silver electrodes. Furthermore, it's worth mentioning that only the electrode array discussed in [60] and the headband described in [70] have introduced the allocation of active electrode electronics to enhance electrode impedance levels. It's important to note, however, that no study has directly compared the effectiveness of various electrode types for around-ear EEG acquisition. Nevertheless, considering the relatively hairless nature of the around-ear region, one might speculate that dry electrodes could potentially perform better compared to their usage in conventional scalp EEG setups when contrasted with wet EEG electrodes.

Electrode number and location

Similar to in-ear EEG studies, there currently isn't a standardized approach for measuring around-ear EEG. This lack of standardization extends to electrode naming, quantities, and placements. The cEEGrid and the electrode array discussed in [60] are composed of a total of 10 electrodes resulting in a total of 20 electrodes when both ears are equipped with these devices. Other around-ear EEG device has less number of electrodes. Electrode number varies between two to five per one side of the ear in the other device designs. For example, the hybrid electrode array, trEEGrid, consists of nine electrodes, four of which are positioned around the ear, three around the eye to serve as EOG sensors, and the last two positioned around the chin to measure EMG of the muscles around the user's mouth. Earpiece and headphone designs usually contain four to five electrodes while glasses and hairband design which has a narrower coverage area contains one to two electrodes on each side of the ear.

Reference and ground electrodes are commonly selected from the electrodes at the most inferior positions, often situated near the mastoids. However, some studies utilizing the two aforementioned c-shaped electrode arrays use the electrodes at the center of the array, positioned approximately above the auricularis posterior muscles, as reference and ground electrodes. Additionally, certain hybrid EEG systems that combine electrodes from the around-ear region with electrodes from other locations make use of electrodes positioned outside the ear, such as on the forehead and nose bridge, as reference and ground sites [68], [70]. Device designs such as headphones, glasses, and headbands, encompass larger areas extending beyond the ear region. This broader coverage area allows for the practical and aesthetic incorporation of electrodes from out-of-ear positions into the wearable design, a solution not observed in the context of in-ear EEG configurations.

Furthermore, although a universally accepted nomenclature for these electrodes is lacking, a number of studies have adopted the approach pioneered by the cEEGrid investigation. This methodology employs the letters "L" or "R" to indicate the left or right ear side, respectively, followed by a numerical sequence from '1' to encompass the total count of electrodes on each side (e.g., R1, R2, . . . , R8). This sequence originates from the most anterior or superior part of the design and progresses incrementally. While we find this approach commendable, there are opportunities for refinement to establish it as a standardized method. First, to enhance comprehensiveness and accommodate future advancements, the nomenclature should extend to encompass the anterior ear region, defining the elliptical shape that surrounds the entire ear area. Second, akin to the standardized 10-20 system employed in conventional scalp EEG setups, the standardization of around-ear EEG systems could benefit from maintaining a fixed percentage-based distance between each electrode position relative to the vertices or co-vertices of the elliptical region surrounding the ear. This approach would ensure consistent relative electrode positions during the fabrication of the sensor array, even when adapting the sensor size for various head sizes.

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3.3. Signal processing hardware used in ear-EEG studies

The hardware of an EEG system can be segmented into three main parts: sensors, the signal processing module, and the computing module. In our discussions thus far, we've focused on ear-EEG, which primarily falls within the sensor component of the EEG system. The ear-EEG acquisition system finds its full form when complemented by the signal processing module. This integral module encompasses hardware for signal processing, amplification, and data transmission to the computing module. While this article won't delve into intricate electronic specifics of the hardware itself, such as circuit schematics and detailed electrical components, we will delve into the EEG amplifier type and its design. This exploration is geared towards assessing the system's wearability, a pivotal aspect that underscores the very application of ear-EEG technology.

Despite the strong wearability aspect of ear-EEG as an EEG sensing technique, the majority of ear-EEG studies conducted so far, especially those involving in-ear EEG, have employed professional-grade stationary EEG amplifiers for their experiments. While this approach suits applications of ear-EEG where subjects remain stationary, such as sleep monitoring, it does limit the broader potential of the ear-EEG method. Introducing a fusion of the ear-EEG approach with a wearable EEG processing module could significantly enhance the system's wearability and mobility, potentially making it viable for everyday use. Many studies leverage commercial-grade wearable EEG equipment such as SMARTING mobile amplifier (www.mbraintrain.com) that is used in most around-ear EEG studies involving cEEGrid, OpenBCI biosensing board (www.openbci.com) [22], [26], [29], [46], [52], [65], [69], WANDmini [33], or NeuroSky Mindwave headset (www.neurosky.com) [71], [72] for their signal processing. However, these studies merely attach the equipment to the subject's attire or place it on a table during experiments, without incorporating any substantial design efforts to create a seamlessly wearable and stylish system. Some studies, nevertheless, has presented a good design incorporating ear-EEG sensors and wearable EEG equipment. For example, Bleichner and Emkes developed a wearable ear-EEG system named nEEGlace, which integrates cEEGrids and the SMARTING into a commercial neck speaker [73]. This innovative design approach not only allows the neck speaker to function as a mount for the EEG amplifier, bringing the entire system closer to the sensors and reducing wire length but also enables the use of auditory stimuli or feedback for interface-based applications. Additionally, the integrated microphone of the neck speaker offers the user voice command options and allows for the recording of environmental sounds. Furthermore, it's worth noting that while cEEGrid was initially designed with ports compatible with the SMARTING, it is possible to create connecting adapters to enable compatibility with other commercially available wearable EEG devices. This adaptability is demonstrated, for instance, in [74].

Numerous studies forego reliance on commercial EEG devices, opting instead to construct their ear-EEG system with custom-made processing modules [7], [34], [49], [63], [67], [68], [70]. Specifically for in-ear EEG, certain designs are compact enough to be

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integrated within the earpiece itself, rendering them remarkably wearable and practical for real-world use [47], [48]. As for around-ear EEG, some custom-made processing modules are embedded and encased within wearable devices like headphones, glasses, and headbands as discussed in the previous section.

It's crucial to emphasize that a well-designed wearable EEG system should ensure the proximity of the sensor and processing module components, minimizing potential noise and artifacts stemming from lengthy cable connections between the two hardware sections. It's also worth noting that although numerous studies have presented ear-EEG systems encompassing fully wearable setups, there has yet to be a study that ventures into real-world, out-of-laboratory environments. Gaining a comprehensive understanding of the practical applicability of ear-EEG necessitates a departure from the controlled confines of the laboratory, undertaking experiments in authentic everyday settings. This endeavor stands to provide insights into the effectiveness of ear-EEG beyond theoretical constructs, shedding light on its functionality and reliability in real-life contexts.

4. Applications of Ear-EEG

Ear-EEG devices are an attractive alternative to more conventional scalp-EEG electrodes due to their compactness and wearability in various applications. Studies have been carried out using ear-EEG devices in various domains to analyze the feasibility of using ear-EEG devices, ranging from measuring neuronal response to stimulus to monitoring a subject's state of mind. In the following section, we split the reviewed studies into different categories based on their intended functions, where the first two sections examine how neurological signals commonly studied in scalp-EEG appear in ear-EEG, and the later sections on various monitoring tasks to which ear-EEGs may be applied.

4.1. Reactive Responses

Reactive responses refer to neuro-signals evoked in response to external stimuli in various forms [75]. While systems that use reactive responses require more preparation and equipment, they normally display a clear, differentiable characteristic in signals that can be matched with the stimuli, making them useful not only for decoding users' intentions but also for evaluating the quality of the measuring device.

4.1.1. Steady-state response One of the most commonly used reactive responses is the steady-state response (SSR). SSR refers to an electrophysiological response of the brain to stimuli presented at a specific frequency or range of frequencies, characterized by a periodic waveform that corresponds to the frequency of the stimulus and its harmonics [76]. The fixed waveforms induced by the stimuli make it possible to validate the feasibility of an EEG acquisition method by calculating the SNR of the signals at the

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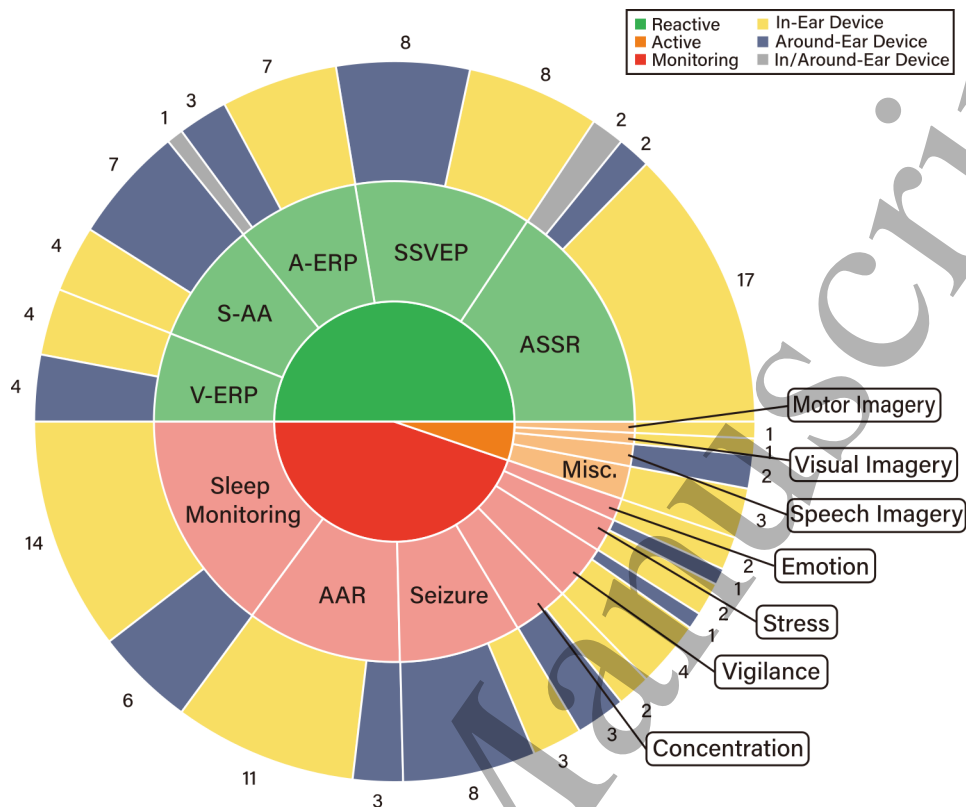


Figure 4: Distribution of different applications of ear-EEG studies.

frequency of the stimuli or by examining the power spectral density (PSD) plot. The stimuli can be given in a diverse manner, including visual, auditory, or haptic changes.

Several studies have used auditory stimuli to measure the auditory steady-state response (ASSR) signals [11], [35], [51], [55] to demonstrate the feasibility of using in-ear EEG instead of scalp-EEG devices. Kidmose et al. [11] were among the first to examine reactive signals measured using in-ear silver electrodes and collected four different evoked responses, including ASSR, SSVEP, transient auditory evoked potential, and transient visual evoked potential. They compared the SNR of the collected signals to that collected from wet EEG electrodes placed in the temporal region. Two different frequencies were used for ASSR (40, 80Hz) and three for SSVEP (10, 15, 20Hz). While in-ear EEG showed signals with lower amplitude compared to scalp EEG, the SNR was maintained at similar levels. Similarly, Goverdovsky et al. [35] showed the feasibility of using a custom-made in-ear device with memory foam and conductive fabric for measuring ASSR and VEP signals. Here, auditory stimuli at 40Hz were provided via an over-the-ear headphone, with visual stimuli given with a red LED. Bertelsen et al. [77] measured ASSR using both a generic in-ear device and cEEGrid and examined various electrode configurations to determine the setups that led to a higher SNR. They found that the ear-Fpz configuration, as well as both within-ear and cross-ear electrode configurations, resulted in statistically significant ASSRs with the generic earpiece. However, the dry-contact cEEGrid demonstrated a significantly higher average SNR

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of the ASSR signal compared to the generic earpiece.

Around-ear EEG was also examined by Guermandi et al. [60] for its potential use in measuring reactive responses. Using a wearable device similar to cEEGrid, they created a system that included the computing unit, which carried out feature extraction and classification on board before transmitting the results via Bluetooth. They validated their system on various ERP signals, SSVEP, and ASSR, where SSVEP stimuli were given via checkerboards flickering at 10, 12, and 15Hz, and ASSR stimuli were given in the form of white noise modulated at 40Hz.

Kaveh et al. [32] proposed an in-ear EEG recording platform consisting of six electrodes, with electrodes made of silver spray. The device broadcasted the signals wirelessly, and the authors validated their system for use in detecting eye blinks, alpha modulation, and ASSR. They found that while existing systems performed better, the platform displayed sufficient SNR for use.

Christensen et al. [20] used in-ear EEG with six channels to measure ASSR to acquire hearing threshold levels, using different electrode configurations. They conducted experiments to validate their approach for both normal and hearing loss subjects. Chiu et al. [78] also used an in-ear EEG made with conductive fabric and viscoelastic memory foam to acquire haptic and auditory steady-state responses, which were used to perform 20 different tasks involving the manipulation of a smartphone.

Kappel et al. [79] compared the signal qualities of in-ear EEG acquired using different types of electrodes. They created two types of in-ear dry contact electrodes using Ag/AgCl and IrO₂ respectively and carried out measurement sessions with one type of electrode in each ear, the position of which was swapped between sessions. The experiment consisted of measuring the SNR of ASSR evoked by white Gaussian noise, and both types of electrodes demonstrated no significant differences in performance.

The effects of different auditory stimuli were studied by Christensen et al. [80], [81]. Using both in-ear and around-ear electrodes, they measured ASSR in response to chirp stimuli with 2- different repetition rates. While direct comparisons were not made in the study, high repetition rate chirp stimuli showed an improved performance to using click, white noise, or pure tone stimuli when used with ear-EEG for acquiring 40Hz ASSR. For SSVEP, Mouli et al. [82] used a wireless system using a circular ring LED for SSVEP stimulus, which contained an onboard computing unit to provide four different frequencies (7, 9, 11, and 13 Hz). A single-channel conductive rubber electrode was used together with an OpenBCI wireless EEG acquisition hardware to collect signals from inside the ear, resulting in SSVEP signals with positive SNR values.

4.1.2. Event-related potentials Apart from SSRs, event-related potentials (ERPs) are also useful types of responses. ERPs refer to changes in the EEG in response to specific stimuli or events in various forms, such as P300 or N100 signals, which refer to a positive evoked potential at 300ms after an event and a negatively evoked potential at 100ms after an event respectively [83]. Similarly to other reactive signals, the clearly defined features make them useful for analyzing the effectiveness of ear-EEG measuring systems

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[63], [84]–[86]. ERPs can also offer insights into various cognitive processes such as attention, memory, and language. In 2015, Debener et al. [4] recorded around-ear EEG data from an auditory oddball experiment using two cEEGrid systems and confirmed the occurrence of P300 signals for target stimulus, with classification results up to 70.92%. In the same year, Bleichner et al. [87] used a combination of the scalp, around, and in-ear EEG electrodes to create a visual P300 speller. Subjects were instructed to copy one sentence containing 19 symbols per task, achieving a classification accuracy of 88% and an ITR of 8.33 bits/minute.

ERPs can also be used to identify auditory attention [88]–[94]. Recently, Ala et al. [95] used an in-ear EEG device to measure alpha-related synchronizations and desynchronizations when continuously attending to speech, with results suggesting that ear-EEG devices can be used to judge a subject’s perception of speech. Similarly, Holtze et al. [94] measured EEG from around the ear using cEEGrid during a selective auditory attention task, where two auditory streams were played simultaneously to the subject, who was instructed to attend to a specific audio. Using speech envelope tracking, they were able to reach average decoding accuracy of 71.3%.

4.2. Active Imagery

Recently, there have also been investigative studies into the use of ear-EEG for measuring active imageries. Active imageries refer to neuronal responses to a subject’s imagery without an external stimulus. Active imageries rely solely on the user’s imagination about a fixed task[75]. Merrill et al. [71] defined five mental tasks, where subjects were told to relax, imagine a song, listen to a fixed frequency, imagine a face, or imagine a rotating cube, as a mixture of ASSR, visual imagery, and auditory imagery. They recruited twelve students to carry out these tasks and monitored the EEG signals using a Neurosky Mindwave Mobile wireless EEG headset modified to collect signals from inside the ear, achieving a classification accuracy of 85.4% on average, with six subjects performing at accuracies over 90%. In 2019, Merrill et al. built on their work further to explore the possibility of using their mental tasks for authentication, where subjects were given a custom in-ear device and nine mental tasks. A task was chosen as a ‘passtought’, with an overall accuracy of 99.82% when detecting this task using three electrodes from the left ear. The custom-made earpiece made the system more robust against an imposter since the mismatched shape lowered the classification performance.

4.2.1. Motor imagery A more commonly used active imagery paradigm is motor imagery, where users focus on imagining moving a part of their body without actually moving the corresponding part. The potential of utilizing around-ear EEG was studied by Kim et al. in an investigative study where they selected channels near the ear from a standard 10-20 international placement. [96] In this work, they found that EEG signals from Broca’s and Wernicke’s area could be used to classify four-class motor imagery with comparable performance to existing setups, suggesting that ear-

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EEG devices could be used for measuring motor imagery paradigms. An actual in-ear EEG device was manufactured and tested for motor imagery by Wu et al. [13]. They hand-crafted custom-fit ear pieces with Ag/AgCl ink used to print electrodes for four different positions per ear. Six subjects were recruited for two class MI classification using EEGNet, with scalp EEG acquired simultaneously to ear-EEG. The acquired ear-EEG signals were re-referenced in various methods before being compared to scalp-EEG in intra-subject and inter-subject classification. While both inter and intra-subject classification showed lower results for ear-EEG, fine-tuning the model with individual data after inter-subject classification led to classification performance higher than the chance level.

4.2.2. Speech imagery Another active imagery that has been gathering attention is speech imagery, in which subjects are instructed to imagine articulating a word or phoneme, without actually moving or producing sound. Given that the auditory cortex and the language centers are positioned close to the regions covered by around-ear EEG, ear-EEG may provide discreet and effective means of acquiring related signals. In 2021, Kaongoen et al. [69] were the first to propose an around-ear EEG device to measure speech imagery. Using a custom-made device with gel electrodes, they acquired EEG signals regarding four different words from both around-ear and scalp EEG from ten subjects. Using a multi-layer extreme learning machine, their around-ear EEG device showed comparable performance to scalp-EEG setups for a five-class classification task consisting of four words and rest. Later, Kaongoen et al. [65] further expanded their work to suggest a home appliance control system using speech imagery measured with an around-ear EEG headphone. In this work, they proposed a hybrid control setup, where user inputs were combined with speech imagery classification results to control a virtual television for three different tasks: controlling the volume, changing the channel, and turning the power off.

4.2.3. Visual imagery Visual imagery, as its name suggests, refers to responses measured when subjects imagine a certain image or action happening in front of their eyes. Subjects are shown an action or an object, and then later instructed to imagine the said object on their own. Kuatsjah et al. [44] performed a visuomotor tracking task, where users were instructed to keep track of a moving blue box and mimic its movement by controlling a different yellow box with a joystick. Two different user states, resting and tracking, were monitored using a two-channel wireless in-ear EEG system. Ten subjects were recruited for the study and showed average accuracy above chance level, demonstrating that visuomotor tasks can be distinguished using in-ear EEG. Similarly for visual imagery, Kosmyna et al. [97] used AttentivU, a hybrid EEG device in the form of glasses to test its effectiveness in visual imagery tasks. The experiment consisted of four different images related to either coffee or art, repeated 20 times for fourteen subjects. In this work, the authors demonstrated that their glasses-shaped hybrid EEG collection device shows comparative visual imagery classification performance to when

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3 *The Future of Wearable EEG: A Review of Ear-EEG Technology and its Applications* 23
4 using a scalp-EEG device.

6 7 8 *4.3. Brain State Monitoring*

9 While neuronal responses can be useful for validating device performance and decoding
10 user intentions, monitoring the current state of a subject is another important domain
11 where EEG signals can be effectively used. In such monitoring tasks, the brain signals
12 of the subject are often measured over a long period of time during a specific activity,
13 making the wearability and comfort of the EEG collection device especially important.
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17 *4.3.1. Sleep Monitoring* For sleep monitoring, ear-EEG has been frequently considered
18 as an alternative to conventional scalp-EEG due to its compact and discreet form,
19 allowing continuous monitoring of the user's sleeping state without much discomfort.
20 Zibrandtsen et al. [15] compared the signals from in-ear-EEG and scalp-EEG during
21 different sleep stages. Two experienced sleep scorers were recruited to analyze 21 hours
22 of one night's sleep. The reported results showed 90.9% similar scores between the
23 two methods, with similar features shown. A memory-foam in-ear EEG electrodes was
24 used by Looney et al. [36] to measure signals from four healthy male subjects during
25 nap and showed that their device could distinguish between N2/N3 sleep stage, as well
26 as non-REM/wake stage using only ear-EEG signals. Several subsequent studies have
27 provided evidence supporting the utility of in-ear EEG for sleep staging [17], [21], [42],
28 [43], [98]–[100].
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33 Around-ear EEG has also been studied as an alternative to polysomnography. Sterr
34 et al. [101] used cEEGrid to measure around-ear EEG during sleep from 20 subjects
35 simultaneously to standard polysomnography. Different sleep parameters regarding
36 sleep maintenance and architecture were examined from both signals for the evaluation
37 of quality. Both systems showed similar results to each other, suggesting that cEEGrid
38 can provide a viable alternative to existing methods for recording sleep signals.
39
40

41 Recently, Mikkelsen et al. [102] performed a study on the performance of
42 self-applied in-ear EEG for sleep monitoring. Ten subjects were recruited from a
43 previous study performed by an expert, with 12 sleep recording sessions carried out
44 by each participant at home. Three different metrics were used to score the quality
45 of sleep in this study: total sleep, sleep latency, and sleep efficiency, for which
46 participants performed similarly to expert-applied experiments even when carrying out
47 the experiment themselves. [103] Another work by Kjaer et al. [104] analyzed the
48 effectiveness of automatic sleep scoring with an in-ear EEG device. In this paper, they
49 reported that repeated automatic sleep scoring with an in-ear EEG device outperforms
50 manually labeled polysomnography consisting of EEG, EMG, and EOG signals. While
51 both methods perform similarly after the first night, ear-EEG has been shown to perform
52 better for six out of eight sleep metrics after two nights.
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57 Furthermore, Henao et al. [38] carried out investigations on implementing a closed-
58 loop stimulation system during sleep to induce slow oscillations. Using an in-ear EEG
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device, they proposed a system that detects sleep slow oscillation and then employs auditory stimulation to enhance the detected oscillation. In their system, two scalp electrodes and one bipolar in-ear electrode in both ears were used to measure the EEG activities, and a wired headband speaker was used to deliver the auditory stimuli. They evaluated their system on 24 healthy subjects and showed positive results for inducing slow oscillations for eleven subjects.

4.3.2. Mental load Several works have also explored the possibility of monitoring the subjects' state via ear-EEG. Eye state is one of the most clearly observable responses in EEG signals; when eyes are open, EEG signals show an alpha attenuation response (AAR), referring to alpha band power decreasing. Such characteristic allows eye states and the corresponding AAR to be used to check the signal quality of both in-ear [32], [34], [49], [105] and around-ear [4], [59], [106] devices.

Some studies have used ear-EEG for measuring stress, usually involving mental tasks. Ha et al. [107] combined three different biosignals: EEG, hemoencephalography (HEG), and heart rate variability (HRV) to monitor stress during an n-back task. EEG signals were measured using two channels in an in-ear device and six channels in a forehead headband, with 16 channels and two channels for HEG and HRV measurements respectively. The heart rate sensors were also placed on the in-ear device. A hybrid EEG system in the form of a headband was suggested by Ahn et al. [70] for stress assessment, measuring EEG and ECG from behind the ear. Stress was induced with two different mental tasks, the Stroop color-word test and mental arithmetic (MA) tasks, and PSD extracted from EEG as a feature for classification, achieving an accuracy of 87.5% for binary classification of stress/non-stress state.

4.3.3. Vigilance Vigilance is another mental state that has been researched with ear-EEG [33], [108]. Hwang et al. [109] was the first work to perform alertness-drowsiness classification using in-ear EEG in a driving scenario. Various biosignals, scalp EEG, ECG, PPG, and GSR were measured simultaneously for comparison in the study, with a single metallic EEG electrode placed in the right ear canal with gel applied for in-ear EEG acquisition. Thirteen subjects were recruited to drive a simulation of a car on a highway at a given fixed speed, with the driver's reaction time and facial characteristics recorded to acquire alertness and drowsiness epochs. Hong et al. [110] carried out a similar study, with drowsiness measured using a multi-modal device consisting of in-ear EEG, PPG, and ECG. The performance of the device was compared to results from a standard 16-channel scalp-EEG for sixteen subjects in a virtual driving task. The proposed system was shown to perform at a similar level but with a shorter data acquisition length. Kosmyrna et al. [68] used their proposed glass device to not only detect drowsiness but also provide feedback to redirect vigilance. Their device consisted of two EOG sensors at the nose pad and two EEG channels at around TP9 and TP10, with a piezoelectric element at the tip of the glasses for auditory and vibrotactile feedback. Twenty participants were recruited to perform a

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driving simulation task, with drowsiness level rated using the Karolinska sleepiness scale. Drowsiness was judged based on an unsupervised threshold-based method on the number of blink and fatigue index acquired from EEG signals, and two different biofeedbacks, auditory and vibrotactile, given to redirect vigilance.

4.3.4. Emotion Recognition Emotion recognition has emerged as a notable research domain, although there exists a limited number of studies that have explored the utilization of ear-EEG for this purpose. Li et al. [72] was the first to propose a low-cost in-ear EEG device, made by refitting a Neurosky Mindset EEG headset, to distinguish three emotion states: negative, relaxed, and excited. Twelve subjects were recruited for the study, who were instructed to watch a video and listen to music to induce emotional changes. The outcomes of the study suggested that single-channel in-ear EEG can be suitable for emotional recognition, albeit with poor performance in terms of arousal recognition. Another in-ear EEG device was suggested by Athavipach et al. [52] for the classification of four discrete emotional states. Twelve participants were recruited, with images from the international affective picture system (IAPS) and the Geneva affective picture database (GAPED) used as visual emotional stimuli and classical music pieces selected from another auditory emotional research used as auditory stimuli. Compared to electrode channels from T7 and T8, the in-ear EEG showed no significant difference in performance.

4.3.5. Epileptic seizure detection Traditional scalp EEG recordings are the gold standard for detecting epileptic seizures, but they have limitations, such as being affected by muscle artifacts and being unable to detect deep-seated epileptic foci. In contrast, ear-EEG recordings can be less affected by artifacts and can be worn more comfortably for a longer period of time. Recent studies have demonstrated the feasibility and effectiveness of using ear-EEG for seizure detection in patients with epilepsy. Zibrandtsen et al. [15], [111] used an in-ear device with four electrodes per ear to record signals from fifteen subjects suspected to suffer from seizure, showing no significant differences in performance in seizure detection when compared to conventional scalp-EEG systems.

Around-ear EEG has also been frequently used for seizure detection. You et al. [112], [113] suggested a cross-head electrode layout, with two electrodes in form of a gold cup placed behind each ear. 54 patients were recruited for the study, with evaluations carried out through visual inspection by a neurologist, subject-independent classification, and subject-dependent classification. The results showed that around-ear EEG detected seizures better compared to patients' self-reports. Vadecastele et al. [114] similarly employed cross-head channels around the ear to detect seizures using an unsupervised generative adversarial network (GAN). Spectrograms from the acquired signals were used as the input to train a deep convolutional GAN (DCGAN) to learn the normal state. The trained GAN was then used to detect an abnormality, with an accuracy of 96.6% [115].

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Due to the uncertain nature of seizures and when they may occur, studies involving the long-term use of ear-EEG for monitoring are essential. Several works investigated the robustness of devices with ear-EEG when measured over a continuously long period of time. [116] Nielson et al. [117] carried out continuous recording of wearable EEG, ECG, and accelerometry in 30 patients, who were given the freedom to move around. Electrodes were placed bilaterally behind the ear on the upper mastoid, with reference placed on Cz. Classification using a support vector machine (SVM) showed an improved performance to using only EEG, with high sensitivity and low false alarm rate. In addition, Musaeus et al. [14] introduced an in-ear EEG system designed for the long-term monitoring of epileptiform activity in patients with Alzheimer's disease. They investigated the comfort of the patients wearing the in-ear EEG device by recruiting ten patients suffering from mild to moderate cases of Alzheimer's disease. Three sessions of EEG recordings were carried out, each being up to 48 hours long with several months between the sessions. All patients managed to wear the device for over 24 hours, and four patients completed the study.

In summary, this section provides an overview of the capabilities of ear-EEG as a tool for acquiring various types of EEG signals commonly utilized in neuro-engineering and biomedical studies, along with its applications. While the potential of ear-EEG is evident from these studies, it's important to acknowledge that the field is still in its nascent stages. Given the multitude of variables that can influence research outcomes – including subjects, device configurations, and environmental factors – it becomes invaluable to observe results from diverse studies to validate consistent findings. Nonetheless, the existing body of ear-EEG research, particularly within the active imagery and brain state monitoring domains such as emotion recognition, remains limited. As a result, drawing definitive conclusions from this emerging field should be done with careful consideration. Moreover, when adopting the ear-EEG methodology within a new paradigm, it is advisable to directly compare its performance against the conventional scalp-EEG method using the same experiment configurations. This comparative analysis would facilitate a better understanding of how effectively ear-EEG performs in relation to the well-established conventional scalp-EEG method, which has a more extensive research foundation.

5. Limitations of ear-EEG and methods to overcome them

While the previous section highlights the potential of ear-EEG in various applications, it is important to acknowledge that ear-EEG techniques have certain drawbacks in comparison to conventional EEG acquisition methods. These limitations may affect their performance in specific applications, particularly those that require monitoring of brain activity in regions further away from the ears. To overcome these limitations, various methods and algorithms have been developed specifically to improve the quality of the ear-EEG technique. In this section, we will discuss some of these techniques that

have been reported in previous literature.

5.1. Re-referencing methods

EEG acquisition conventionally requires a reference electrode. The electrical potential recorded at each scalp electrode is the result of the difference in electrical potential between that electrode and the reference electrode, so the choice of reference electrode can affect the interpretation of EEG data [1]. In ear-EEG techniques, the choice for the reference electrode is limited due to the small coverage of the compact structure of the ear-EEG devices. As discussed in Section 3, in the absence of any external out-of-ear electrode, the reference and ground points of an ear-EEG system are typically situated within the concha for in-ear EEG setups, and at the lowermost positions for around-ear EEG configurations. Researchers may also re-reference EEG data post hoc to another reference point to produce different interpretations of the data or improve the quality of the signal. We will review the re-referencing techniques employed in ear-EEG research, covering both traditional and innovative methods, and explore the implications of different approaches.

The Common Average Referencing (CAR) technique is a commonly used EEG re-referencing technique that involves creating an average of all EEG channels and subtracting the resulting signal from each channel [17]. However, this technique assumes a uniform spatial distribution of the electrodes, which is not applicable in non-traditional electrode placements such as ear-EEG techniques. In these cases, the average reference may be biased towards more densely populated electrode areas, leading to suboptimal results. To address this issue, researchers have proposed alternative re-referencing techniques. For instance, Kappel et al. [118] conducted a study on ASSR using in-ear EEG and suggested a method called Optimized Reference Configuration (ORC) that assigns different weights to each electrode in the re-referencing process. The weights were trained by maximizing the SNR of the first harmonic of the steady-state response from the training dataset. They also proposed a Location Weighted Referencing (LWR) technique that assigns separate weights to the electrodes based on the location and distribution of the electrodes. Specifically, electrodes in the concha and canal areas of the ear are weighted differently. Results from the study indicate that the ORC method produced the highest SNR of the steady-state response compared to the CAR and LWR methods. In 2019, Choi and Hwang [106] conducted a comprehensive comparison of various re-referencing techniques used in previous studies. They used around-ear-EEG open datasets recorded while subjects performed an alpha modulation task, and two active mental tasks: MA and mental singing (MS). Five re-referencing techniques that were used in the previous ear-EEG research including (1) CAR[17], (2) contralateral-mean (using the mean of contralateral electrodes as the reference point)[12], (3) ipsilateral-mean (using the mean of ipsilateral electrodes as the reference point)[18], (4) contralateral-bipolar (using bipolar configuration on contralateral ear sides)[119], and (5) ipsilateral-bipolar (using bipolar configuration on ipsilateral ear

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sides)[119], were applied to the data. The results showed that the contralateral-mean method provided statistically higher SNRs and classification results in all mental tasks, suggesting that it might be an efficient choice of re-referencing method for the ear-EEG data and thereby increase the quality of the ear-EEG data. However, it should be noted that the contralateral-mean method does not apply to ear-EEG systems that consist only of one side of the user's ear or systems in which electrodes from both ears are not physically connected.

Additionally, it is worth noting that active EEG electrodes do not require a common reference electrode. This is because active electrodes have a built-in preamplifier that amplifies the signal and sends it directly to the recording device, whereas passive electrodes require a reference electrode to provide a baseline for the recording [120]. With active electrodes, each electrode acts as its own reference, allowing for a more flexible and scalable recording setup. An active wearable ear-EEG system presented in [70] showed an innovative way to acquire both EEG and ECG data using only two active channels each located behind each ear and one reference electrode located at the forehead. The system utilized a reference configuration that involves subtracting the reference signal from the signal obtained from the left and right active electrodes, respectively, to acquire two EEG signals. The ECG signal is obtained by subtracting the signal from the right active electrode from that of the left electrode. This reference setup ensures that the EEG signals are spatially balanced, which is essential for obtaining asymmetry features from the EEG data. Furthermore, the system enables the simultaneous acquisition of HRV features from ECG data along with EEG data, without requiring additional electrodes. These features made the proposed system suitable for stress assessment in daily life.

5.2. Noise cancellation and artifact removal techniques on ear-EEG

Creating a wearable EEG system presents significant obstacles, primarily due to noise and artifacts. In contrast to a controlled laboratory environment, real-world scenarios are exposed to numerous sources of noise and artifacts, both internal and external. Noise cancellation and artifact removal techniques play vital roles in the development of a reliable wearable EEG system. These methods filter out unwanted noise and artifacts from the EEG signal, leading to more accurate and dependable signals and allowing the extraction of meaningful insights. As a result, integrating these techniques is essential for the efficacy and success of wearable EEG systems.

While there are some variations in the noise and artifacts observed in ear-EEG compared to conventional scalp-EEG, such as ear-EEG being less affected by eye blinks and movements but more susceptible to artifacts associated with jaw muscle contractions, the algorithms used in scalp-EEG can generally be applied to ear-EEG with only minor modifications [121]. In fact, the study in [122] demonstrated that independent component analysis (ICA) can be used to detect artifacts in around-ear EEG obtained from cEEGrids and the artifact ICA components showed similar

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4 characteristics to those of scalp-EEG data. Despite the lack of noise cancellation or
5 artifact removal techniques tailored specifically to ear-EEG data, we will review some
6 innovative approaches that have been successfully applied to wearable ear-EEG systems.
7 In their 2020 paper, YE Lee and colleagues proposed a method for removing artifacts
8 from EEG signals called constrained ICA with online learning (cIOL) [123]. The cIOL
9 method uses constrained ICA to estimate artifacts from the EEG signals using reference
10 signals, and recursive least squares (RLS) to extract an artifact-free EEG signal. The
11 reference signals used in their experiment were obtained from isolated electrodes, which
12 were blocked with high-resistance material to prevent brain signals from passing through.
13 In their study, four electrodes, two from each side of the cEEGrids, were used as the
14 isolated electrodes. The authors evaluated the performance of the cIOL method in ERP
15 and SSVEP experiments where subjects were walking on a treadmill and compared it to
16 other state-of-the-art artifact removal methods, such as artifact subspace reconstruction
17 (ASR) [124], Riemannian ASR (rASR) [125], and FFT-based methods [126]. The
18 results showed that the cIOL method achieved the best classification accuracy across
19 all experimental settings. Moreover, the results showed that using isolated signals as
20 reference signals for estimating the artifacts produced slightly better outcomes than
21 using IMU data. Nonetheless, this method has only been tested with motion artifacts
22 and may not perform as effectively with other types of noise or artifacts that could be
23 present in real-life scenarios. The study in [37] added two microphone sensors (different
24 positions) and an accelerometer to the viscoelastic in-ear-EEG device to capture the
25 noises. They combined noise-assisted multivariate empirical mode decomposition (NA-
26 MEMD) with normalized least mean square adaptive noise cancellation (NLMS-ANC)
27 technique to produce an innovative artifact removal method for the ear-EEG data.
28 In summary, their method involved inputting signals from ear-EEG electrodes, two
29 microphones, and an accelerometer to the NA-MEMD to generate the intrinsic mode
30 functions (IMFs). Then, each pair of ear-EEG IMF and one of the noise-sensor IMFs
31 is fed independently to the NLMS-ANC to clean the ear-EEG IMFs, and finally, the
32 clean ear-EEG IMFs are then added up together again to reconstruct the denoised
33 ear-EEG data. Results showed that the denoised EEG signals demonstrated reduced
34 power in the frequency range where artifacts were present. Moreover, the performance
35 of different noise sensors varied for the tested artifacts. Specifically, microphones were
36 found to be more sensitive to artifacts caused by internal motion within the ear canal,
37 such as chewing, whereas accelerometers were more effective for artifacts resulting from
38 full-body movements, such as walking. Both methods described in the studies above
39 require sensors that are separate from the EEG electrodes to capture noise. It is possible
40 that combining multiple types of noise sensors could enhance the effectiveness of these
41 methods. However, the tradeoff between device performance, cost, and wearability must
42 be carefully considered.

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Apart from using noise cancellation and artifact removal methods, the hardware
design also plays a crucial part in the quality of the EEG signal as well. In Section
3, we discussed the design aspects of wearable devices, including electrode selection,

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and device shape and material, all of which contribute to improving the quality of the ear-EEG signals and reducing noise and artifacts. Electrical circuit techniques, such as impedance boosting, DC servo loop, and active shielding can also be used to improve the signal quality as well [24], [27], [127], [128]. Corrected Double Sampling (CDS) is typically implemented in analog circuits by allowing a capacitor in the amplifier feedback loop to reset and store noise observed at the front end with the input electrode disconnected. Upon reconnecting the electrode to sample the noise stored in the capacitor, the noise from the first sample is canceled from the electrode sample [105]. CDS is commonly utilized to reduce the impact of $1/f$ and thermal noises. In 2022, A. Paul [105] proposed utilizing a digital version of the CDS method for an in-ear EEG system. They investigated various weighting schemes on the CDS reference samples (distinct from the reference used in the EEG acquisition process) to subtract them from real samples and generate denoised EEG samples. The study demonstrated that the digital CDS method efficiently reduced $1/f$ noise and kT/C thermal noise from the ear-EEG data. The Kaiser-22 window with a width of 20 exhibited the best performance, resulting in a 71.1% noise reduction.

5.3. Enhancing ear-EEG performance with machine learning and signal processing techniques

There exist several methods for advancing the development of wearable ear-EEG systems. A notable research domain pertains to enhancing the device itself, where efforts are dedicated to developing ear-EEG sensors and circuits or refining the system's design to make it more wearable and user-friendly. Another research area entails refining signal processing techniques to eliminate noise and gain more meaningful insights from ear-EEG data. In this section, we will review machine learning approaches tailored to enhance the performance of the ear-EEG system.

One of the primary limitations of ear-EEG techniques is their limited coverage area. Certain brain signals dominate in specific regions, such as the visual cortex in the occipital area where SSVEP, commonly used in BCI systems, is prominent. However, when EEG is obtained from locations farther away from its dominant region, such as the ear area, its signal quality decreases [6], [129]. This can result in suboptimal performance of the ear-EEG technique in specific applications compared to conventional methods. To address this challenge, one potential solution is to establish a relationship between ear-EEG and conventional scalp-EEG and use the acquired ear-EEG data to estimate EEG data at the target brain area, thereby enhancing the performance of the ear-EEG system. It is demonstrated in [5] using in-ear EEG data acquired from several experimental schemes, including alpha-attenuation, auditory onset, and mismatch-negativity responses, that there is high mutual information between the ear-EEG and scalp-EEG data, especially in the temporal region. It is also shown that it is possible to use a linear model to predict scalp-EEG data from the given ear-EEG data when a shared common reference electrode is used between the two methods. Furthermore,

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4 employing a forward model, the study in [6] also demonstrates the sensitivity of around-
5 ear EEG to different cortical sources and draws the same conclusion that ear-EEG is
6 most sensitive to the sources in the temporal cortex.
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8 Building on this concept, NS Kwak et al. [129] proposed an error correction
9 regression (ECR) framework that enhances the performance of ear-EEG in detecting
10 SSVEPs. The ECR framework utilizes estimated EEG signals on the occipital area by
11 establishing linear and nonlinear relations between the ear-EEG and scalp-EEG. The
12 framework comprises two regression processes. Firstly, the first regression process is
13 trained to predict the scalp-EEG samples from the ear-EEG samples. Secondly, using
14 the error calculated from the first regression process, the second regression process is
15 trained to estimate the errors from the given ear-EEG samples. Finally, the error-
16 corrected outputs are calculated by subtracting the predicted scalp-EEG samples from
17 the estimated error values. To select the regression model in the first process, the
18 authors evaluated three methods including multiple linear regression (MLR), ridge
19 regression (RR), and kernel RR (KRR). They selected the regression model that gave
20 the highest correlation value between the predicted and actual scalp-EEG samples.
21 In the second process, KRR was used as the regression model. The authors' results
22 demonstrated that ECR significantly improves the classification accuracy of ear-EEG
23 in the SSVEP-based experiment and the improvements were the highest compared to
24 using a regression model solely. Israsena and Pan-Ngum [130] developed a different
25 approach by estimating scalp-EEG data from ear-EEG data in a different domain to
26 enhance SSVEP classification accuracy. Their approach involved a regression step that
27 was incorporated into the convolutional neural network (CNN) model after the output
28 layer to re-estimate the softmax values before generating the classification result. To
29 be precise, they fed the EEG data from T7, T8, and Oz channels into a CNN model
30 individually to obtain their respective softmax values. Next, they trained a regression
31 model to estimate the Oz data's softmax values from those of the T7 and T8 data.
32 Finally, during testing, the regression model re-estimated the softmax values of the T7
33 and T8 data before generating the classification result using the typical approach. Their
34 technique demonstrated superior SSVEP classification accuracy compared to using data
35 from T7 and T8 channels alone without the regression step. In the future, it would be
36 fascinating to observe the further development and application of this method with
37 other types of brain signals to evaluate the ear-to-scalp prediction method's concept
38 more comprehensively. Liang et al. [131] proposed another solution to the lower SSVEP
39 response in the ear area compared to the occipital area. They hypothesized that there
40 is a phase difference in the SSVEP response in the ear area between the left and right
41 visual field stimulation from the SSVEP biphasic stimulation paradigm. To enhance
42 the SSVEP response in the ear area, they adjusted the phase of the left and right visual
43 field stimulus by estimating the phase difference between the SSVEPs from the two
44 types of stimuli obtained from the ear area. By adding the estimated phase difference
45 to the initial phase of the right visual field stimulus, the new phase difference would
46 become zero, enhancing the sum response from the left and right visual stimuli in the ear
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4 area and improving the SSVEP recognition performance of the ear-EEG. Their method
5 was validated, and the results showed that the phase optimizing method in the SSVEP
6 biphasic stimulation paradigm significantly increased the SSVEP classification accuracy
7 of the ear-EEG.
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10 Additionally, several research works have focused on developing classification
11 models to improve the performance of ear-EEG systems. While few classification
12 algorithms are specific to ear-EEG, some novel algorithms have been proposed to
13 enhance the performance of ear-EEG systems. This review discusses such algorithms,
14 which can also apply to other types of EEG systems. One such algorithm proposed by
15 YE Lee and MJ Lee [132] is a two-stream deep neural network that combines a CNN
16 stream for extracting frequency-domain features and a long-short term memory (LSTM)
17 stream for extracting time-domain features. The extracted features from both streams
18 are then combined to map the classification output. This method was successfully
19 validated in SSVEP-based BCI experiments conducted in an ambulatory environment.
20 Zhu et al. [133] re-implemented the well-known EEGNet [134], a compact CNN designed
21 for EEG-based BCI, by experimenting with different kernel numbers and utilizing an
22 ensemble learning strategy to enhance the performance of ear-EEG, which has weak
23 SSVEP compared to the conventional method. Ensemble EEGNet showed significantly
24 higher classification results compared to canonical correlation analysis (CCA) and
25 normal EEGNet with different kernel numbers. In 2021, Lee [135] proposed an ensemble-
26 based approach that utilizes CNNs to classify ERP responses from ear-EEG signals.
27 This method involves dividing non-target data samples into four groups and forwarding
28 one group of non-target data and target data to each ensemble model, which is a three-
29 layer CNN. The network then updates the weights by averaging the gradients of all four
30 groups and combines the predictions of all four ensemble models to produce the final
31 prediction output. This approach also addresses the imbalance problem of the ERP
32 data, and the results were satisfactory even though the experiments were conducted in
33 an ambulatory environment. Recently, Borup et al. [136] proposed a novel approach
34 to enhance the performance of sleep-state scoring using ear-EEG data by employing
35 ensemble learning and knowledge distillation. The method consisted of two phases.
36 In the first phase, they trained a set of individual baseline models (SeqSleepNet [137])
37 using classical supervised learning with different random initialization. Ensemble models
38 were then formed by taking the unweighted average of the predictions from multiple
39 individual models. In the second phase, the ensemble model was used as a teacher
40 model in the knowledge distillation process. Pseudo labels generated from the teacher
41 model, along with optionally labeled training data, were used to train a single student
42 model. The results demonstrated a notable improvement in accuracy from ensemble
43 models compared to the individual baseline models. Moreover, without any alteration
44 in the model architecture, their semi-supervised knowledge distillation approach enabled
45 a single student model to retain 50 to 100 percent of the improvements obtained by the
46 ensemble models, while also reducing the computational cost.
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6. Conclusion

In conclusion, the Ear-EEG technology has rapidly gained attention in recent years due to its excellent wearability, making it a promising alternative to conventional EEG methods. Ear-EEG offers a convenient and non-invasive way to capture brain activity, which is essential for applications in the real world outside research and clinical settings. Our comprehensive review of the literature on ear-EEG highlights the significant advances made in this technology, including the development of novel ear-EEG wearable device designs, its efficient performance comparable to the conventional EEG setup in the various types of applications in BCI research fields, and signal processing and analyzing techniques that could increase the performance of ear-EEG.

However, despite these advancements, ear-EEG still faces challenges and limitations. The main disadvantage of ear-EEG is its small and specific coverage area, which may limit the quality of signal types it can detect and the applications it can offer. To overcome this challenge, future research should focus on signal processing or machine learning techniques such as signal estimation techniques that estimate EEG at a specific brain area using only data acquired from ear-EEG, which could enhance the quality of ear-EEG signals. Furthermore, noise reduction and artifact removal techniques are essential for wearable EEG systems, and their integration with ear-EEG technology will be crucial to achieving the ultimate goal of making ear-EEG a viable brain-monitoring system that can be used in daily life.

It is important to note that ear-EEG research is still in its early stages, and more studies should be conducted to generalize the results. Future studies should also focus on performing experiments in real-world settings, which is the main purpose of the ear-EEG method. Such studies will provide insight into the practical applications of ear-EEG in various real-world contexts. Despite these challenges, the potential of ear-EEG technology cannot be underestimated. We believe that it will continue to generate interest and open up new avenues of research in the coming years.

Overall, ear-EEG technology represents a promising and exciting direction for the field of wearable EEG systems and neural engineering, and we look forward to seeing further developments and applications in the future.

7. Acknowledgement

This work was supported in part by Institute of Information & communications Technology Planning & Evaluation (IITP) funded by the Korea government (MSIT) (No. 2017-0-00432) and in part by the National Research Foundation of Korea (NRF) grant funded by the Korea government (No. 2021R1C1C2012437).

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